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## NANO-SIZE GAS SENSOR SYSTEMS

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/246,988.

## FIELD OF INVENTION

This invention is in the field of nano-size gas sensors that employ photons to interact with the sensing material in some way. This nano-technology includes the use of photon absorption, refraction, reflection and optical evanescence. The invention incorporates a sensing media, which comprises a chemical complex outside and/or immediately adjacent to a photon source and/or waveguide, e.g., a chemical media that changes its optical properties in response to gases and vapors. There are a number of applications where nano-scale sensors employ evanescent coupling from a waveguide to a porous coating containing a chemical that reacts with a gas or vapor to cause a change in the photon signal through the waveguide. This evanescent method can provide very fast CO response to even low levels of target gases and is also a valuable method to detect a variety of gases that can react with a thin layer coated onto a waveguide. In addition, the nano-scale sensors can be used to employ a multi-pass photon chamber or an optical switch that employs a change to the index of refraction of the sensor to move photons from one waveguide to another. There are other nano-technology sensing methods that can be used to make gas sensor; however, this invention deals with the optical method in the broad sense that photons are used. These optical methods include some interaction of the photons with matter, a photon emitter, a photon detector and a miniature sensor system.

## BACKGROUND OF THE INVENTION

In recent years, a number of MEMS and MOEMS devices have been developed. These miniature machines and electro-optical devices may be fabricated using the photolithography techniques developed for

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1 silicon devices, such as turbines, switches, sensors and actuators.  
The micro-machining industry is in its infancy as was the silicon  
integrated circuit (IC) device industry 40 years ago. As design  
tools made possible the development of the IC industry, design tools  
5 are beginning to give today's researchers the opportunity to design  
new components combining the physical world needs of sensing and  
actuators with the rapidly growing capabilities of information  
technology.

In 1994, Quantum Group proposed to DOE STTR (94-1) the  
10 "Evanescent Detection of Gases". This document was proprietary and  
not a public disclosure, but turned out to be a prescription for a  
new and better evanescent sensing method, which has been recently  
reduced to practice. The proposed evanescent system was designed to  
detect gases such as CO, H<sub>2</sub>, D<sub>2</sub>, T<sub>2</sub>, H<sub>2</sub>S, NO<sub>x</sub>, UF<sub>6</sub>, F<sub>2</sub>, PuF<sub>6</sub>, Cl<sub>2</sub>  
15 and ammonia.

One application of these proposed miniature evanescent sensors  
is to detect clandestine nuclear or chemical weapon facilities.  
Other applications are to monitor plumes from existing facilities,  
measure gases to control engines, fuel cells and other processes,  
20 environmental monitoring, safety and detect terrorist activities.

This proposal extends the well-known evanescent fiber optic  
sensor for detection of various ions in the liquid and gaseous  
phases (Harrick 1987; Mirabella 1985, Paul 1987; Simphony 1988 and  
Ruddy et al 1990; S. Shilov et al Proceedings of SPIE Vol. 3918  
25 (2000) and Holmquist 1993). Bell and Firestone (1986) and others  
(1985) have stated that many fiber optic systems can convey photon  
signals with nearly zero attenuation (losses).

Airborne gases and vapors such as hydrocarbons, NO<sub>x</sub>, hydrogen,  
carbon monoxide, nerve and mustard agents as well as other gaseous  
30 and vapors are generally detected by various instruments in the lab  
and field. Until very recently, this equipment was very large and  
expensive. The US government and many companies have embarked on  
methods to increase the speed of detection and to reduce the size of  
the detectors. The advent of MEMS and MOEMS has made possible the  
35 miniaturization of various sensors. In addition, chemioptical

1 methods developed by Quantum Group in the 1980s have led to  
commercialization of very low powered biomimetic sensors in the  
1990s.

Goldstein et al described examples of a CO sensing using  
5 biomimetic sensors, e.g., US Patent No. 5,063,164, US Patent  
No. 5,618,493, and patent application No. 09/487,512 filed  
Jan. 19, 2000, the contents of which are incorporated by reference.  
These biomimetic sensors mimic the human response to CO. This  
chemistry was an improvement of an earlier invention by Shuler and  
10 Schrauzer, i.e., US Patent 4,043,934. The Shuler and Schrauzer  
Patent also teaches the use of a chemistry with high copper ion  
concentration that converts CO to carbon dioxide even at room  
temperature, but has limited life and operates over a narrow range  
of relative humidity.

15 US Patent 5,063,164 teaches that in the presence of the target  
gas the danger from hazardous exposures may be determined by  
monitoring the sensor with a photon source, i.e., passing photons of  
a specific spectral region through the sensor and monitoring the  
intensity of the photon beam or using a pulsed photon source to  
20 conserve power with a simple photon detector such as a photodiode.  
There are a number of other target gas sensors that have been  
disclosed in US Patents, e.g., Nos. 4,043,934, 5,346,671, 5,405,583,  
5,618,493 and 5,302,350, which can detect a target gas such as CO by  
monitoring the optical properties of the sensor.

25 Goldstein described several CO detector systems which  
incorporate these type of optical changing sensors, such as the  
biomimetic sensor as discussed above, such as US Patent Nos.  
5,280,273, and 5,793,295. Others such as by Marnie et al disclosed  
a low cost circuit (Apparatus) with software and method for  
30 detecting CO in US Patent Nos. 5,573,953 and 5,624,848. Goldstein et  
al further disclosed a digital and rapid regenerating means in co-  
pending patent applications 08/026,34 and 60/076,822 herein  
incorporated by reference. The SIR technology is described in a  
copending application 60/051,038 filed June 27, 1998, which uses a  
35 sensor that responds to CO by a change in its optical properties,

1 for example, as described in US Patent No. 5,063,164 and the  
improvement patents mentioned herein in example 1 and co-pending  
applications.

5 The gas detector systems include housings that contain one or  
more photon sources that emit photons in at least a region of the  
electromagnetic spectrum, a sensor that absorbs photons proportional  
to the CO exposure, a photodetector sensitive in the corresponding  
active region of the spectra, a circuit designed to measure the  
response, a noise maker or other signal means which are actuated by  
10 the circuit and an enclosure. The housing (enclosure) has at least  
one opening to permit the sound to escape and the CO or other gas to  
enter. The detector also contains a sensor that may be permanent or  
may be configured with a battery for convenient replacement or may  
be mounted within the housing designed for easy replacement and with  
15 or without a convenient battery replacement means. Several systems  
were disclosed in US Pat. No. 5,793,295 by Goldstein issued in  
August 11, 1998 and is hereby incorporated by reference.

In addition, some preferred embodiments of this invention are  
portable and can be placed on the vehicles visor or other locations  
20 (e.g., pocket, belt, dash) while driving. However, the portable unit  
is easily removed for use in other location outside the vehicle such  
as for CO protection in the workplace by workers and/or by  
contractors, fire person, utility or other serviceperson, etc., or  
on forklifts and similar vehicles that do not have visors. These  
25 types of portable products may be operated on common batteries that  
can be easily replaced. The sensor system may be replace separately  
or with the battery. The most accurate detector system able to  
respond to less than 30 ppm CO contains sensor(s) that need to be  
replace occasionally (1 to 5 years).

30 Several low cost sensor systems are disclosed in US Patent  
Nos. 5,063,164, 5,624,848 (Marnie et al), 5,618,493, (Goldstein et  
al), 5,280,273 (Goldstein), 5,793,295 (Marnie et al) and higher cost  
advanced systems are disclosed in co-pending applications serial  
number 60/076,822 filed March 4, 1998 and a digital CO detector

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1 PCT/US97/16846 Filed 19 Sept. 97, the contents of which are hereby  
incorporated by reference.

5 This sensor(s) comprises at least one self-regenerating  
sensing reagent coated onto a substrate, for example, a high surface  
area transparent material such as a porous glass. The substrate is  
made of a solid state material which is sufficiently transmissive or  
reflective to a specific range of photons in the specific wavelength  
region of interest to permit detection of optical characteristics of  
the sensor using an optical source such as a light emitting diode  
10 and a photodiode. These optical components and sensor(s) are  
controlled by a circuit designed to measure the output of the  
photodiode monitoring the sensor which would alert the passengers  
through some means and actuate controls as programmed depending on  
the level of hazard or condition.

15 These type of detector can be modified to meet any of the  
following standards: UL 2034 recreational vehicle, British Standard  
Institute (BSI) for United Kingdom and Japanese standards.

20 This may be accomplished by one of several software - hardware  
combinations described in US Pat. Nos. 5,624,848 and 5,573,953,  
herein incorporated by reference, known as embodiment I, and co-  
pending application using digital methodology described in  
PCT/US97/1686 is known as embodiment 2. Both embodiments 1 and 2  
are preferred embodiments, the first for low cost and the second for  
performance features and accuracy, i.e., the high-end application.

25 Most of the current portable digital gas detection products  
with acceptable accuracy on the market are battery operated and use  
electrochemical cells for sensors. The units that are accurate are  
expensive, costing typically \$500 to \$1000, require frequent  
calibration and frequent sensor and battery replacements. These  
30 electrochemical units can not operate at -40 C nor can they live for  
long periods of time at 70 C. Metal Oxide Semiconductor sensors take  
very large amounts of power and therefore cannot be operated for a  
reasonable time of 2 years on a small 9 volt battery. The MOS  
sensors are subject to interfering gases and also lose sensitivity  
35 when exposed to silicones often used in the automotive industry.

1 Therefore, there is a need for a low-cost, reliable, low power,  
accurate, easy to use, and low power consuming unit to detect  
various gases, such as CO, rapidly even at very low levels as  
required by fuel cell vehicles. There is a need to incorporate the  
5 product into fuel cell vehicles to have a product that can be used  
to control the reformer with response time of 100 milliseconds.

Furthermore, there is a need for a small CO detector to  
protect people. A pocket size model has additional advantages of  
operating over a larger range of humidity and temperature,  
10 responding faster and providing more accuracy and more stability  
than any other technology.

Specifically for the case where the target gas is CO, the  
sensor is one or more CO optically responding sensors, such as  
described in US Patent No. 5,063,164. There are improvements in  
15 that technology such as those described in the patent mention above  
or in copending applications referred to above such as Application  
No. 60/051,038 filed as an ordinary patent application on June 26,  
1998 entitled Air Quality Chamber, herein incorporated by reference.

The humidity and air quality system incorporates catalyst  
20 formulations sold under the trademark SIR(TM). These sensors are  
more selective and live much longer than any other sensors on the  
market.

Acid gases such as sulfur dioxide, sulfur trioxide, oxides of  
nitrogen, and similar acid compounds may be removed from the air  
25 stream by means comprising a porous air filter material impregnated  
with acid reacting chemical such as sodium bicarbonate, sodium  
carbonate, calcium carbonate and magnesium hydroxide. In addition,  
there is a filter section to react with bases such as citric,  
tartaric, phosphoric, molybdsilicic and other acids impregnated on  
30 silica gel or other suitable substrate. A layer of charcoal may  
separate the acid from the basic layer. A useful air purification  
system may include four to five active layers separated by inert  
material such as a porous felt.

An optically responding sensor for detecting the presence of a  
35 predetermined target gas, such as carbon monoxide ("CO"), is

1 disclosed in US Patent No. 5,063,164, the contents of which are  
hereby incorporated by reference. The sensor comprises at least one  
self-regenerating sensing reagent coated onto a substrate, for  
example, a high surface area transparent material. The substrate is  
5 made of a solid state material such as silica. The substrate must be  
sufficiently transmissive to the wavelength of interest to permit  
detection of optical characteristics of the sensor using an  
optically coupled light emitting diode and photodiode collectors.

Other methods for detecting gas, such as methane, using  
10 evanescent field absorption have been demonstrated using silver  
halide fiber (Tanaka et al 1985). The halide fibers are very  
expensive therefore Simphony et al developed a short halide fiber in  
1986. Numerous other methods for detecting gases have been  
developed, such as detection of ammonia using a pH indicator coated  
15 in the porous layer (Shahiriari et al. 1988). Saggase et al  
demonstrated the feasibility of detecting CO, CO<sub>2</sub> and methane using  
AW3 and ZrF3. These methods are expensive and relatively  
insensitive from 1 to 10 ppm levels. Therefore, a need exists for a  
more sensitive and faster CO sensor. In addition, there is a need  
20 for a sensor that is durable and can operate in fuel cell reformate  
streams, under high temperature high humidity condition and be  
durable enough to operate for years without maintenance and  
calibration. In addition, there is a need for a low cost, easy to  
manufacture and reproducible CO sensor for fire detection and many  
25 other applications, including the detection of CW agents, explosives  
and other materials. Therefore, the present invention is important  
to meet all these necessary requirements; no other technology can  
meet these requirements.

Certain vehicles, such as electric cars powered by fuel cells,  
30 were generally expected to comprise a hydrocarbon reformer to  
convert hydrocarbon to hydrogen, carbon dioxide and carbon monoxide.  
The CO sensing system may operate off of the main vehicle electric  
power generated by the fuel cell or other electric generation means  
and may also have a battery back up system. Increased response  
35 speed in the millisecond time frame is a result of the need to

1 control reformers for fuel cells and increase the efficiency of the  
fuel cell.

#### SUMMARY OF THE INVENTION

5 The field of the invention relates to gas monitoring using  
sensors that respond to gases or vapors by modifying one or more  
optical property of the sensors.

10 There are numerous applications for the detection of gases and  
vapors. One application is to detect hazardous materials such as  
explosives at checkpoint. Another application is to identify the use  
of chemical warfare agents. The fuel cell reform requires the  
detection of CO accurately and reliably at or below 10 ppm. A  
reference sensor may be used to increase stability and/or to reduce  
the need for constant calibration. Control sensors measure the  
15 difference in the photons passing through the reference and the  
sensing element. It can compensate for various environmental and  
other changes.

20 Example 1 Low power sensing systems. In a preferred low cost  
embodiment of this invention, e.g., incorporating one or more  
chemioptical responding sensor(s), a low power consuming sensor  
monitoring system is used for detecting the presence of a  
predetermined target gas, such as carbon monoxide ("CO"). Simply by  
miniaturizing the sensing system, the sensing speed can be increase  
because these types of sensors change optical properties as the gas  
25 diffuses into the pores. These pores are small and therefore it  
takes time for diffusion to take place. The smaller the sensor, the  
less time it takes to change the entire sensor or some fraction  
thereof.

30 Example 2 illustrates the use of evanescence to increase the  
sensing speed of an optical sensor. The sensing speed is increase  
by using the evanescent wave absorption (EWA), because the sensing  
layer is thin. In one embodiment of the EWA, there is a porous  
coating that replaces the cladding in a typical waveguide or optical  
fiber. The key part of the EWA sensor is the coating of the porous  
35 cladding. For example, a 125-nm thick coating can be applied to an

1       optical fiber that is 10 microns to 600nm in diameter. The porous  
substrate may be made by reaction of the Tetraethyl Orthosilicate  
(TEOS) with an organic precursor to form an organometallic acid with  
more than 4 carbons but less than 12 carbons. The reaction is done  
5       in a dry box similar to the method for making rare earth metal oxide  
ceramic precursor composition as described in US Patent No.  
5,662,737, herein incorporated by reference.

In this Example 2 case, one may mix silicon alkoxide with a  
complexing agent to yield a mixture of complexing agent/alkoxide of  
10      silicon. The mixture is then hydrolyzed and the precursor  
composition is isolated and is stable in air. The solubility of the  
precursor can be tailored to dissolve in various solvents and be  
controlling the structure and functional groups. The at least  
15      partial dissolution in a solvent creates pre-ceramic liquid that can  
be used to coat the waveguide. Pore size can be controlled by the  
amount of solvent and pore agent used. The pore agent can be a  
polymer or a sub-micron insoluble material or a combination of the  
above. The pore agent may preferably consist of a material that is  
20      interconnected such that when it is burned out the pore structure is  
uniform and interconnected. A mixture is of cyclodextrins (CDs) and  
polymers with functional groups that self-assemble with the CDs. In  
some cases, the organic complexing agent may act as the pore agent  
by itself or with another additive. The coating may be applied by  
dip coating, spraying or other similar method.

25       The fiber is placed in a chamber with an optical emitter and  
sensor. The photons are placed into the waveguide at one end and  
read at the other. The EFA is measure at time zero and at various  
exposure of a target gas such as CO. The coiling of the fiber  
reduces the size of the chamber and increases the sensitivity of the  
30      sensing system by increasing the evanescent wave outside the core  
fiber into the outer cladding.

For the case where the target gas is CO, a circuit is designed  
to measure the EFA output of the photodiode and/or its rate of  
change,  $dl/dt$ . Under certain condition, the derivative is  
35      proportional to the carbon monoxide (CO) concentration,

1                    $[CO] = k_1 \{dI/dt\}$ , at other times  
                      $[CO] = k_2 \{I(n)\}$   
                     when  $dI/dt$  is very near zero  
And, when  $dI/dt$  is not linear such that the second derivative is not  
5               very near zero, than a weighted average is calculated, and the  
                     constants  $k_3$  and  $k_4$  represent the proportion of each component on the  
                     weighted average which may be determine empirically. After the  
                     constants have been determined for each type of sensor, then the CO  
                     concentration can be approximated by the following equation

10                   $[CO] = c\{k_3 \{dI/dt\} + k_4 \{I(n)\}\}$

The approximation can be employed easily and can limit the cost of  
the digital alarm or detector.

15               In the case where the gas to be measured is a fuel cell  
                     reformate stream, the CO in the stream reacts with one sensor in the  
                     linear range. There are two sensors as described in an earlier US  
                     patent application 09/487,512 filed 1-19-00. One embodiment of the  
                     invention comprises a control system, which consists of two sensors  
20               and a valve system to allow the control of air and reformate  
                     alternately, such that one sensor is always measuring the CO and  
                     perhaps the information can be used for controlling other systems.  
                     This embodiment is referred to as K CO Detection system hereafter.  
                     The control sensor measures CO in the hydrogen stream effectively  
25               and at least one sensor is being regenerated by the air stream. The  
                     two or more sensors are monitored photometrically, one in the  
                     hydrogen stream and at least one in the air.

In the use of porous silica coatings on a core optical fiber  
and then coating or self-assembling a gas sensing material on the  
30               porous surface, there is a well-known alkoxide coating method that  
                     was developed by Jeff Brinker at Sandia, which was first tried;  
                     however, the coating pore structure was only about 1 to 3 nm in  
                     diameter. This process is good for some sensor material. The CO  
                     sensor requires a pore size of 20 to 25 nm (200 to 250 Angstroms).  
35               This pore structure, disclosed in a previous patent for a CO sensor,

1 US Patent No. 5,618,493 issued August 1997, exceeds 15 nm or 150  
Angstroms. If the average pore diameter is larger than 350 m, the  
transparency in the 500 nm to 1000 nm wavelength range drops off  
sharply.

5 Therefore, the ideal range for CO detection over a normal  
range of RH is between 15nm to 30 nm for use with visible and near  
IR wavelength photon emitters and detectors. A patent by R. Shoup  
discloses a method to make pore structure of the appropriate size  
10 using potassium silicate and colloidal silica. This method can be  
used by itself or combined with the other method mentioned above.

Once the coating is in place, any number of coatings can be  
added to the porous silica to sense a target gas. The sensitivity  
depends on the evanescent wave, which is outside the core fiber and  
enters the porous clad sensor.

15 Paul et al 1987 showed that the evanescent power of an  
evanescent field absorption (EFA) fiber optic sensor has a well  
defined electric field distribution outside the fiber waveguide,  
which decays exponentially as it moves radially from the outer  
surface. This evanescent field is typically 0.01 to 0.1 percent,  
20 except in single mode fibers, which can be as high a 0.1 to 1.0  
percent or even higher.

The eigenvalues for the solution of the equation for a photon  
in a waveguide can be employed to compute the normalized frequency  
as follows:

25

$$V^2 = U^2 + W^2$$

Where U and W are eigenvalues for the core and cladding that arise  
from the solutions in an electric field in an optical fiber (Snyder  
30 1974). For a porous sensor clad optical fiber, V may be defined as

$$V = 2\pi r l \lambda \{ \sqrt{[n(f)^2 - n(c)^2]} \}$$

where r is the fiber radius, and n(f) and (c) are the indices of  
35 refraction of the fiber and porous cladding, respectively. Thus the

1 equation demonstrates that for small values of V, i.e., small  
diameter sensors and for porous coatings with different indices of  
refraction from the fiber, there will be an evanescent absorption in  
the sensing media when it is exposed to the target gas, assuming the  
5 appropriate wavelength photons are employed. Therefore,  
Micro-Optical Electronic Machine Systems (MOEMS) are an excellent  
way to manufacture these sensors. The method involves the use of  
photolithography, etching, coating, etc., as described in "Silicon  
Micromechanics: Sensors and Actuators on a Chip" by Roger Howe et al  
10 IEEE Spectrum, July 1990; "Mirrors on a Chip" by Jack Moore, IEEE  
Spectrum, Nov. 1993; V. Kieman, Laser Focus World March 1997 pp 63-  
64; and Steven Ohr, Electronic Engineering Times, Aug. 4, 1997  
pp. 1-146, as well as DAPRA DOD Website under MTO, MEMS and MOEMS.

15 The changes in photon intensity dI at the end of the fiber is  
proportional to the length I of the sensing region, the evanescent  
field absorption, i.e., proportional to the radius of the fiber, the  
fibers optical and physical properties and the sensitivity of the  
sensing layer S as well as the concentration of the target gas such  
as (CO). Thus the concentration of the (CO) can be monitored by  
20 measuring the rate of change of the evanescent absorption with  
respect to time t.

$$\frac{d(\text{evanescent absorption})}{dt} = k(\text{CO})$$

25 ~~for~~ For other gases, the k may be different and for some sensing  
media, the equation may vary depending on material properties.

In some cases, such as CO, k is a constant. In general, K may  
be some function that needs to be determined experimentally. In the  
CO case, the concentration of CO is proportional to the change in  
the photon intensity of the specific wavelength over a dt interval.  
30 This is true in the initial response; however, the nature of one  
such CO sensor coating has been shown to be proportional to both I  
and dt/dt.

Under certain condition, the derivative of the transmitted  
photons with respect to a time interval plus the actual transmitted  
35

- 1 photon intensity is proportional to the carbon monoxide (CO) concentration,

$$[CO] = k_1 \{dI/dt\} + I(K_2) \text{ at other times}$$

5  $[CO] = k_2 \{I(n)\}$   
when  $dI/dt$  is very near zero

And, when  $dI/dt$  is not linear such that the second derivative is not very near zero, than the sum of the two, i.e.,  $I(n)$  and  $dI/dt$  is divided by 2 or is averaged or a mean. In addition, a weighted average is feasible such as represented by the general equation:

$$[CO] = c\{k_1 [dI/dt] + k_2 [I(n)]\}$$

- 15 The approximation can be employed easily and can limit the cost of detector and has the capability of digital display.

Other approximations are also possible, e.g., the sum of averages or weighted averages over a series of registers

20  $[CO] = k_1(dI/dt) + K_2 [I(n)]$

This method may be useful in producing digital displaced CO concentrations.

The fiber optic system has limitation in size; however, 25 optical waveguides can be miniaturized using Micro Optical Electro Machining (MOEMS). The optical system may be useful for a variety of applications from sensing to controlling aircraft.

Example 3 illustrates the use of index refraction change to direct the photons. If the sensor is used as an optical switch, then 30 photons in one waveguide may be directed to a second waveguide. There may be a photon emitter that places photons (of a specific wavelength range) within waveguide 1. Assuming there is no reaction from the target gas, then these photons stay in waveguide 1; however, if the target gas exceeds a predetermined level, the index

35

1       of refraction changes such that the photons are directed to the  
waveguide 2.

Example 4 illustrates the use of a system that passes photons through the sensing area more than once. This method is referred to 5 a multi-pass because the photons are passed through the active area many times. The method is well known in spectroscopy for detecting gases. In this case, we are using the thin layer of a porous solid and amplifying the absorption by using reflectors or some other means to direct the photons through the thin reacted sensor media 10 more than once. The more time the greater the absorption and thus the greater the change in the signal.

One of the key advantages of the above examples is the increased speed of response over conventional system described earlier. The fast sensors such as CO devices may be incorporated 15 into vehicles, which can respond to CO or other gases in a number of ways to protect occupants, control fuel cell reformers, and control air quality. The technology may be generally applied to the detection of chemical warfare (CW) agents as well as other gases. For example, hazards such as hydrogen, hydrocarbons, CO, ammonia and 20 various toxic pollutants may be monitored in near real time with very short delay of the order of millisecond. In addition, some of these methods can be miniaturized with low cost.

There are provided several preferred embodiments of the present invention. These embodiments include both apparatus and 25 methods for determining the concentration of various target gases at very fast speed for which examples were given above.

1. Miniaturize conventional absorption: Small sensors are as limited by diffusion rate.

30       2. Thin layer multi-pass: This invention uses photons that pass through the sensor many times, either using a multi-pass through the porous sensor.

- 1       3.    EFA: Sensor comprises a waveguide coated with a porous sensing  
media.
- 5       4.    Index of refraction changes: One such method uses the sensor to  
switch photons from one area to another.

The present invention relates to a sensing system, which comprises one or more optical responding sensors, which comprise a coating onto porous transparent substrate. This field of invention 10 relates to a sensor and a sensing apparatus incorporating at least one photon emitter such as an LED or laser diode and a photodetector such as a photodiode. Standard photon multiplexing techniques used in the telecommunication optical fiber industry are useful for identifying some agents; others require multiple photon emitter. 15 These preferred embodiments use very little power and have long life.

These multi-pass and EFA sensors are fail safe. These sensors operate over the range from minus 40 C to +70 C. The technologies are Solid State and use either infrared or visible or both.

20       Coiling an optical fiber makes one embodiment of an evanescent wave sensor. One preferred embodiment of the EFA method is for sensing CO. The EFA sensing system consists of at least two separate materials: one, an optical waveguide and the other, a porous coating which incorporates a material that changes its 25 optical properties when exposed to one or more target gases, and a means to pass one or more wavelength photons through the fiber such that one or more photon wavelengths are absorbed due evanescent coupling. The specific pattern recognition from the differences in absorption of various wavelengths yields a spectral signature that 30 is capable of rapid and specific identification of most compounds of interest. For many simple compounds, only one or two wavelengths may be needed. In addition, the use of multiple wavelength can identify several compounds at one time. The porous layer is made very thin, about 100 nm to 200 nm (1000 to 2000 angstroms). It is then coated 35 with a sensing medal that changes its optical properties when

1 exposed to CO. The coating may be applied directly. By-measuring  
the evanescent absorption changes as a function of time and/or the  
absolute light intensity value, the concentration of CO and other  
gases may be determined.

5 For applications in controlling fuel cell reformers, two  
sensors may be required. In a reformate stream comprising hydrogen  
and very little oxygen, two sensors may be used, one in the  
reformate stream and the other in clean air. When monitoring the  
optical response  $I(n)$  of the sensor (S1) at a time  $t$ , this optical  
10 response is proportional to the CO concentration within the one  
chamber. The other chamber has a similar design and therefore will  
also have a similar sensor, which will be regenerating while the  
other is responding.

This EFA embodiment relates to an evanescent field absorption  
15 sensor with a waveguide and an adjacent sensing media EFA-SM to  
accurately detect CO over a wide range such as 5 to 1000 or even 10  
to 15000 ppm over a short time, such as 1000 milliseconds. This  
basic EFA-SM concept may be used to detect hazardous gases, such as  
CO. These devices may be incorporated in or attached to various  
20 vehicles and may be portable units such that it can be easily  
carried for applications in locations other than the vehicles or  
from one vehicle to the other. This invention includes applications  
comprising gas detector systems, such as a carbon monoxide (CO)  
sensor to very rapidly detect the presence of CO for reformer  
25 controls. In addition, a signaling means may be incorporated to  
alert the people of fire, CO hazard or other gaseous materials.  
Optionally, the novel device can display digital information on the  
target gas, e.g. concentration, compute and/or display the Time  
Weighted Average (TWA), peak concentration over some predetermined  
30 time interval, total dose from target gas exposure, concentration,  
etc., and then display the information on the vehicle dash or other  
location.

The EFA can be computed by subtracting the background loss.

The K series sensors contain a much higher concentration of  
35 copper ions than a biomimetic composition disclosed in US Patent

1       5,063,164, herein incorporated by reference. The concentration of  
copper is more than 1000 times that of the photometric (color)  
change sensors. This is because these sensors are responding to IR  
absorption in the near IR below the threshold. The reference sensor  
5       response to humidity is nearly identical to the humidity response of  
CO sensor. The threshold of the high copper CO sensors may be 200  
ppm or 20,000 ppm.

#### BRIEF DESCRIPTION OF THE DRAWINGS

10      The invention will be better understood with reference to the  
following detailed description and accompanying drawings wherein:

Figures 1 and 1a are a miniaturized CO sensor using surface  
mount LED and Photodiode (PD) and prism to direct the photon through  
the sensor and then to the PD.

15      Figure 2 is a typical thin coating sensor that utilizes a  
multi-pass photon arrangement.

Figure 3 illustrates an evanescent sensing device to measure  
the optical change on a very small surface at a depth d, which was  
coated on a waveguide.

20      Figure 4 illustrates an EFA sensing device with a straight  
waveguide with a coating that interacts with a target gas.

Figure 5 illustrates an EFA sensor that comprises a coiled  
optical fiber core with a porous coating that reacts to the target gas.

25      Figure 6 illustrates an EFA-ring sensing device that provides  
a time measurement of the

signal decay from the ring back to the waveguide.

Figures 7a and 7b illustrate switchable electro-optical  
devices, which move the photons from the  
straight waveguide to the ring EFA sensor, which absorbs photon  
30      proportional to the concentration of target gas, and then switch the  
photons back to the waveguide where they are measured.

35      Figure 8 illustrates the use of a gas sensor used to switch  
the photons from one waveguide to another by means of an index of  
refraction change. The photons move through the sensing element to  
the parallel waveguide on the opposite side of the sensor.

## 1 DETAILED DESCRIPTION OF THE FIGURES

Figures 1 and 1a illustrate a miniature surface mount LED 140 and photodiode 150 in an optical sensing system 100. The prism 110 directs photons 115 to a sensor 145. The photons 115 from the LED 140 pass through a target gas, which react with the target gas or vapor. There are two basic optical techniques that are incorporated as embodiments of this fast optical monitoring method, i.e., 1) transmission and 2) reflection. The prism waveguide may be replaced with other waveguide shapes (not shown). In Figure 1, the prism transmits and then reflects photons 115, which pass through the miniaturized sensor 145 and then strike the photodiode 150. In Figure 1a, prism surface 110b transmits the photons 115 which pass through the miniaturized sensor 145 and are then reflected by prism surface 110a before striking the photodiode 150.

Figure 2 illustrates a multi-pass transmissive sensing apparatus 200. This sensing device 200 can be used for a variety of gases. For purpose of an example, the use of CO as the target gas will be described; however, it in no way is limiting the target gases of this method. Passing photons 215 through a sensor 245 many times as shown in Figure 2 may enhance the transmission method if reflectors 212 and 213 are very reflective such that the signal is preserved. Figure 2 illustrates a multi-pass photon device 200 that comprises the sensor 245 that comprises a porous optical material, which is coated with a sensing agent (not shown) to form the sensor 245. The target gas is directed to a sensing surface 248, which reacts with the surface layer 248 in time  $t(1)$  to a depth  $d(1)$ . The photons 215 emitted from a photon source 240 are reflected back and forth through the sensor 245 by the reflectors 212 and 213. The photons are absorbed in the portion of the coating that reacts with the gas in time  $t$  and the signal is read by monitoring a photodetector 250. Ten reflections through the sensing material (245) may be provided in this embodiment.

Figure 3 illustrates an EFA sensing apparatus 300. In the case where the target gas is CO, a porous sensor coated may consist of a porous transparent material about 1000- 2000 angstrom (100 to

- 1        200 nm) thick coated with about 1 to 2 molecular layers of a supramolecular chemistry, which is optically responsive to CO. The sensing material comprises a chemical reagent comprising at least one of the following groups:
- 5              Group I Palladium salts selected from the group consisting of palladium sulfate, chloride, and bromide.
- Group 2 Heteropoly(molybdate)s such as silicomolybdate acid, ammonium molybdate, alkali metal molybdates.
- Group 3 Copper salts of sulfate, chloride, bromide and perchlorate.
- 10             Group 4 Alpha, beta gamma or delta cyclodextrins and their hydroxymethyl, ethyl and propyl derivatives.
- Group 5 Soluble salts of alkaline and alkali chlorides and bromides and mixture thereof;
- 15             Group 6 Organic solvent and/or co-solvent and trifluorinated organic anion selected from the group including dimethyl sulfoxide (DMSO), tetrahydrofuran (THF), dimethyl formamide (DMF), trichloroacetic acid, trifluoroacetate, a soluble metal trifluoroacetylacetone selected from cation consisting of copper, calcium, magnesium, sodium, potassium, lithium, or mixture thereof; and
- 20             Group 7 Soluble inorganic acids such as hydrochloric acid, sulfuric acid, sulfurous acid, nitric acid, and strong oxidizers such as peroxide, or mixture thereof.
- 25             To form a sensing layer 345, which is located just outside a waveguide 318, comprises the process of fabricating the EFA sensing device comprising the steps of coating the waveguide with a porous silica layer between 20 nm and 200 nm, and then coating the porous silica surface with a sensing agent.
- 30             A method of producing the porous transparent layer which provides the sensing platform for a self-assembled supramolecular sensing agent in an evanescent field absorption (EFA) sensor, is made by starting with a silicon alkoxide, and further comprising the step of reacting the silicon alkoxide with an organic material with

1        carbons from 4 to 12, and further involves the hydrolysis of the  
complex to form an organo-silicon compound that is a stable compound  
and is soluble in non-polar solvents, and further dissolving the  
solid organo-silicon in the solvent and then coating the waveguide  
5        with the solution and further drying the coating and then heating it  
to drive off the solvent. The waveguide substrate such as silicon  
dioxide substrate and the porous silica are next slowly heated to  
500 to 900 C and then cooled slowly to room temperature. This  
cooling may be accomplished simply by shutting off the oven and  
10      leaving the oven to cool over night.

The size of the pores is important and must be keep at 10 to  
30 nm, with the preferred embodiment at about 200 to 270 nm. The  
preferred embodiment may be fabricated using the information  
disclosed in US Patents as well as the method disclosed in the US  
15      Patent Applications given above. In addition, the method may  
comprise the steps of adding a pore forming agent to the solvent  
containing the organo-silicon, and then dip or spin coating the  
waveguide, drying and heating to remove all solvent and to burn out  
the pore forming agent that results in a 150 to 300 nm pore  
20      structure.

The CO sensor generally regenerates in air if the air has no  
or very small amount of CO. In the absence of CO; i.e., operating  
in clean air, the sensor is in the normal state or condition  
indicated by a transmission of light (photons in the wavelength band  
25      of interest), which is indicated by a characteristic optical value  
I(0) and a zero value. If a target gas such as CO is present, the  
sensor equilibrium is shifted as the reagent undergoes changes in  
its optical density, i.e., the sensor begins to change its photon  
(optical) interaction properties on the surface. The gas interacts  
30      with the outer surface fast, but is then limited by diffusion  
through the small pore. A typical monolith sensor darkens or  
lightens on its outer surface closest to the source (gas) depending  
on the particular type of CO sensor. After a time  $t(0) + t(1)$ ,  
which depends upon the gas (such as CO) concentration and the  
35      duration of exposure to CO, the sensor has changed over a thickness

1 D(1). If it were practical to measure the D (1) absorption only by  
aligning a photon emitter 340 with a photodetector 350 as shown in  
Figure 3, then a rapid measurement could be made. In practice, it  
is difficult to make this measurement because of alignment issues,  
5 therefore a multi-pass sensing system is very useful to provide a  
very fast and accurate response.

Figure 4 illustrates a straight waveguide system 400 with  
porous coatings 445 on at least two sides and a reflector 412 on the  
side opposite a photon entry side 451. An LED 440 emits photons 415  
10 of a particular wavelength, e.g., 400 nm to 1100 nm. The photons  
415 enter a waveguide 418 through the polished surface 451 with the  
beam of photons 415 entering perpendicularly to the surface. The  
photons exit perpendicularly onto a photodiode 450 as shown. The  
coatings 445 sense the target gas such as CO with evanescent  
15 interaction in the outer cladding 445. The invention employs the  
use of internally reflected photons to monitor the gas exposure and  
concentration of the target gas in the cladding (coating on a  
waveguide). This EFA device 400 is illustrated in Figure 4, which  
illustrates a possible MEMS optical waveguide 418.

20 Figure 5 illustrates a fiber optic coil used as an evanescent  
ring system 500 for the detection of gases and vapors. The EFA ring  
system 500 can also be configured to operate using an optical coil  
560 with sensing media (not shown) coated onto at least a portion of  
the coil 560, which is located close to an optical fiber 555. The  
25 evanescent coupling using porous coating on coiled fibers has been  
proposed earlier by Goldstein and Holmquist and others as mentioned  
above. The novel aspect of these gas sensors is that a porous  
transparent cladding is first prepared, coated at 100 to 2000  
angstroms and processed at high temperature over 350 C. Then, a  
30 sensing material is applied using self-assembly nano-technology with  
molecules that comprise a mixture.

The step of coating the waveguide is to immerse the waveguide  
in a chemical reagent comprising at least the following groups for a  
period of time:

1        Group 1 Palladium salts selected from the group consisting of  
palladium sulfate, chloride, bromide and mixture thereof;  
            Group 2 Heteropolytungstate such as silicomolybdate acid,  
ammonium molybdate, alkali metal molybdates;  
5        Group 3 Copper salts of sulfate, chloride, bromide and  
mixtures thereof;  
            Group 4 Alpha, beta, gamma, and or delta cyclodextrins and  
their derivatives and mixtures thereof;  
            Group 5 Soluble salts of alkaline and alkali chlorides and  
10      bromides and mixture thereof;  
            Group 6 Inorganic or organic acid and or salt of organic or  
inorganic compound that dissolve in the mixture in the presence of  
the acid(s); and  
            Group 7 Strong oxidizer such as nitric acid, hydrogen peroxide  
15      or mixture thereof;  
            and further removing the waveguide and porous outer layer from  
the solution and then drying the waveguide system slowly over 1 hour  
to 7 days to form the supramolecular sensing complex. Next, the  
waveguide system is heated to about 50 C to 80 C for a period of  
20      time varying between a few hours and a few days depending on the  
size of the oven the circulation of the oven and the amount of  
sensor in the oven.

Figure 6 illustrates an EFA sensing devices 600 that can be  
fabricated using MOEMS technology. This device contains an  
25      evanescent coupling that can move photons 615 from a waveguide 660  
to a ring 666 and back. While the photons are traveling in the  
ring, the EFA takes place proportional to the concentration of the  
target gas such as CO. A photon emitter 640 pulses an amount of  
30      photons, of which a portion is coupled into the ring 666 because of  
the close spacing and the materials used. The photons move from the  
emitter 640 to the waveguide 660, to the ring 666, and then a  
portion is coupled back to the straight waveguide 660 after each  
circumference passage of the photons around the ring 666. Some of  
35      these photons 615 are absorbed by sensing coating 645, which  
absorption is proportional to the concentration of the target gas

1 (not shown). Fig. 6 shows the evanescent system 600 that is  
positioned such that a portion of these photons is coupled in either  
direction. If the decay time of the signal measures similar to  
plasma resonance, then a low cost fast responding sensing system is  
5 accomplished.

Figure 7A and 7B illustrate the use of a means to switch  
photons into a ring coated with sensing media 745. Photons 715 are  
passed from a waveguide 760 through a switch 777a or 777b to a ring  
766. Then, the position of the switch may be changed to allow the  
10 reduced photon signal to be transferred back to the waveguide 760.  
The photons 715 go around and around the ring 766 and are  
evanescently coupled to the sensing material 745 proportional to the  
thickness of the coating, the diameter of the ring, the material and  
the index of refraction, as well as the gas concentration of the  
15 target gas. As they go around the small ring 766, the photons spend  
a portion of their time outside the ring waveguide in the sensing  
cladding 745. If the target gas has reacted with the cladding media  
745, then some of the photons will be EFA in that cladding  
proportional to the concentration of the target gas (not shown).  
20 The longer the photons spend time in the small ring 766, the more  
that is absorbed. In a few microsecond or a few milliseconds, the  
switch can be activated allowing a portion of the photons 715 to be  
passed back to the straight waveguide 760 and a photodiode 750 can  
be place at one or more end(s). The photon signal is then read by  
25 the photodetector 750. The difference between the intensity of  
photons measured at some interval of time  $t(I)$  is a measure of the  
target gas concentration in near real time, that is, less than 1  
second and perhaps less than 1 millisecond depending on the  
parameters discussed above, the gas concentration and the speed of  
30 the switch.

Several methods of forming transparent porous sensor  
substrates are given below. The major steps in forming a uniform  
porous coating, which are bonded to a waveguide, are given for  
silicon dioxide but can be used for many other metal oxides.  
35 Examples 7-1 through 7-3 have porous silica of controlled pore sizes

1 with the average pore diameter 200 to 270 nm as measure by a  
Quantachrome BET Model XXX. It is preferred that the pore diameter  
not vary more than plus or minus 15%. Figure 7 illustrates four  
steps to manufacture a sensor for evanescent field absorption.

5 Step 1: The precursor is prepared. In Example 7-1 and 7-2,  
the precursors are TEOS and TMOS, respectively. In example 7-3, it  
is a silicon tetra 2-ethylhexanoic acid. Other organo-silicon  
compounds are feasible and the few examples given are not intended  
to limit the method.

10 Step 2: Involves preparing the solution and applying the  
coating by dip or spray.

Step 3: Age, dry and then heat to about 500 to 675C.

Step 4: Impregnate or coat the porous silica with a sensing  
material and process.

15 Example 7-1

Water is mixed with nitric acid to form a 0.01N acid. Next, 0.75  
grams of polyacrylic acid (Aldrich 19205-5) mw 250,000 is blended  
with 10 ml of 0.01N acid to obtain a clear solution. Add 10 ml of  
20 TEOS; stir gently, then heat in a closed container to 60 C for 10  
minutes. Next, dip a waveguide into the solution. The solution is  
useful for about 1 hour.

After coating, dry the coated waveguide in air for 1 hour then  
wash with nano-pure water and ethanol. Then, dry at 60 C for 1  
25 hour. The dried sample has a pore size of 25 nm. The thickness of  
the coating can be controlled by the time of immersion. During the  
first few minutes of gelling, the coating is 50 to 75 nm thick. At 10  
to 30 minutes, the coatings are about 80 to 120 nm, and the coatings  
done after 30 minutes are larger than 120 nm.

30 Example 7-2

0.023 grams Polyvinyl pyrrolidone (Aldrich 85656-8 mw 40,000) is  
dissolved in 10 ml of nano-pure water. Add 5 ml of TMOS and stir  
gently. Heat the solution at 55 C in closed container for several  
35 minutes, then open and place one test fiber into the mixture for a

1 few seconds and remove. Test the coating for smooth bonding, size  
and uniformity. As soon as the proper coating is obtained, dip coat  
as many waveguide as possible within ten minutes. Then age for 2  
hours each of the dipped waveguides. Then wash 3 times with water  
5 and ethanol. The pore average size will be about 25 nm.

Example 7-3

One preferred embodiment uses 2-ethylhexanoic acid. The evaporation  
of the solvent such as cyclohexane forms the green ceramic, which  
10 after controlled firing forms a thin porous silica layer with  
average pore diameter of 20 to 25 nm (200 to 250 Angstroms).

15 The ratio of the 2-ethylhexanoic acid added to the total  
silicon alkoxide is preferably in the range between 1 to 1 to 2.7 to  
I on a molar basis. The green ceramic is heated slowly to about 500  
C to 600 C. The heating cycle can take from 12 to 24 hours depending  
on the amount of materials use in the furnace and the thickness of  
the coating.

Example 7-4

20 Any examples above are feasible; however, for clarity, the preferred  
manufacturing method is shown. A coating solution preparation:  
approximate 50 grams of above silicon tetra 2-ethylhexanoic acid is  
added to 250 grams of cyclohexane to form a clear liquid. The  
liquid is sprayed through a standard air/liquid spray gun onto an  
25 unclad optical fiber. It instantly forms an adherent coating under  
standard lab conditions. The fiber is then heated to 550C in 12  
hours and then allowed to cool to room temperature. The oven is  
opened and the coated fiber removed. The fiber is then placed in a  
30 humidity chamber for 24 hours, after which it is placed in a  
solution containing the supramolecular complex described in US  
Patent Nos. 5,063,164 and 5,618,493. It is feasible to machine  
thousands of these devices in a single chip using MEMS technology as  
referenced above.

1       Figure 8 illustrates an index sensing switch system 800  
comprising a photon source such as an LED 840 and a waveguide 860 to  
receive photons 815 from the photon source, a portion of which is  
captured by the acceptance angle and stays in the waveguide (WG1)  
5       860 by total internal reflection. The WG1 860 is optically coupled  
to sensor 845 and is also optically coupled to waveguide WG2 861,  
which is located on the opposite side of the sensor from the  
waveguide 860. There is a photodiode 850 located at the far end of  
WG2 861. If the photons 815 transfer from WG1 to WG2 by a change in  
10      the optical properties of the sensor 845, then the photodiode 850  
will register the change proportional to the amount of photons  
striking the photodiode 850. If the gas such as CO (not shown) is  
what changes the optical properties to cause the photons to switch  
from the waveguide 860 to the waveguide 861, then the system can  
15      sense this change very rapidly in the order of milliseconds. The  
smaller the system is, the more quickly the sensor changes. Figure  
8 illustrates the use of a sensing switch system 800 that uses the  
change in index of refraction due to the reaction of sensor  
chemistry with a target gas or vapor. As the index changes, the  
20      photons move from one position to another position (not shown).

#### Example 8-1

An example is of an index of refraction change to switch the photons  
from waveguide (WG) 1 to waveguide (WG) 2 through the sensor S (the  
25      sensor may be a K sensor for fuel cell applications).

One skilled in the art would appreciate an apparatus and  
method for tracking the response of optically responding sensors for  
a variety of target gases such as CO. Today, current low-cost  
digital CO products cannot operate reliably for years with common  
30      batteries, such as 1.5 volt AA, AAA or 9 volts or similar batteries.  
Such an apparatus and method would increase the desirability of a  
wide variety of products from home detectors to military monitors,  
medical products, breath diagnostics to industrial controls to  
automotive gas sensing products and fuel cell reformers. Many of the  
35      current digital CO products on the market are battery operated.

1    These CO digital detectors use electrochemical cells for sensors.  
They are very expensive, require frequent calibration, and frequent  
replacement. Or, they use Metal Oxide Semiconductor (MOS) sensors  
which take very large amounts of power and therefore cannot be  
5    operated for a reasonable time of years or even months on small  
batteries such as a 9 volt battery. Therefore, there is a need for a  
reliable, low-cost accurate digital CO detector.

Furthermore, there is a need for small, fast responding  
detectors to detect chemicals that may be released in a battlefield  
10   or civilian environment by an adversary. The tiny sensor can be  
fabricated on a small chip only a few microns. Therefore, it can  
stand the g forces needed to send these sensors into the battlefield  
in small vehicles or shells. The novel invention provides all of  
these advantages and has additional advantages of operating over a  
15   larger range of humidity and temperature, responding faster and  
providing more accuracy and more stability than any other  
technology.

One skilled in the art may appreciate a low powered gas (such  
as CO) sensing apparatus, which can also, measure and display gas  
20   concentration by calculations from the response of EFA for a variety  
of target gases.

Such an apparatus and method would increase the desirability  
of a wide variety of products from home detectors to military,  
medical products, breath diagnostics to industrial controls to  
25   automotive gas sensing products. These target materials include NOx,  
CO, Hydrogen, CO2 as well as chemical warfare agents and explosive  
vapors and many other volatile molecules.

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